Pursuant to 48 CFR Part 3, in order to avoid any conflicts of interest that are prohibited by applicable laws and regulations, and/or to avoid the appearance of any conflicts of interest, completion of this Conflict of Interest Certificate is required by all persons involved in the evaluation of the Proposals for the PROJECT NAME Design-Build Project. After reviewing the information furnished in this Certificate, the Project Director may contact you to obtain additional information.

In answering the questions set out in this Certificate; please be guided by the following definitions:

* Immediate Family – Includes spouses, all members of your resident household, and all dependents (for income tax purposes). Also includes those family members, not included in the foregoing definition, whose financial activities and interests are related to yours.
* Preceding Year – The twelve (12) month period prior to the date of completion of this Certificate.
* Proposal Team Member – Each of the companies listed on Appendix A, attached hereto, and any company controlling or controlled by such company (e.g. a parent or subsidiary).

| **Statement** | **Response****(Do Not Leave Blank)** |
| --- | --- |
| 1 | Name of person filing Certificate |  |
| 2 | Position on Project Name Team |  |
| 3 | Business telephone number |  |
| 4 | List any Proposal Team Member from whom, directly or indirectly, any loan, payment, or anything of monetary value has been received by the Evaluation Team Member or an immediate family member, or for you or such family member’s benefit within the preceding year. Briefly describe the services provided that resulted in each item of the substantial income, if any. (The amount of income derived is not requested on this form.) |  |
| 5 | List any Proposal Team Member from whom, directly or indirectly, any gratuity, gift, favor or entertainment was received by you or an immediate family member, or for you or such family member’s benefit in the preceding year. (The amount of any such gift is not requested on this form.) |  |
| 6 | List the name of any Proposal Team Member in which you or any immediate family member has had a substantial interest or investment during the preceding year. Briefly describe the nature of such interest or investment. Exclude stock held by mutual funds, but include stock held by 401k Retirement Plans (other than by Mutual Funds in such plans). State percentage of such stock interest in the company (i.e. stock interest owned divided by the total of company’s shares outstanding). |  |
| 7 | List the name of any Proposal Team Member to whom you or any immediate family member owes a substantial sum. |  |
| 8 | List the name of any Proposal Team Member for whom you or any immediate family member have worked within the preceding year, or from whom you have received an offer of employment within the preceding year. |  |
| **For Consultants working on** Project Name **Design-Build Project:** |
| 9 | List the name of any Proposal Team Member with whom your firm has had a joint venture relationship within the preceding year. |  |
| 10 | List the name of any Proposal Team Member to whom your firm is under contract to provide ongoing legal or consulting work. |  |

To the best of my knowledge the information provided on this certificate is correct:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Signature |  | Print Name |  | Date |

I have reviewed the responses in this certificate and have determined that this person may participate in the evaluation of Proposals:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Signature |  | Print Name |  | Date |

The following Teams have been selected to submit Proposals:

|  |  |
| --- | --- |
| **Team Name:** |  |
| **Major Participants:** |  |  |
|  |  |
| **Additional Team Members:** |  |  |
|  |  |

|  |  |
| --- | --- |
| **Team Name:** |  |
| **Major Participants:** |  |  |
|  |  |
| **Additional Team Members:** |  |  |
|  |  |

|  |  |
| --- | --- |
| **Team Name:** |  |
| **Major Participants:** |  |  |
|  |  |
| **Additional Team Members:** |  |  |
|  |  |

|  |  |
| --- | --- |
| **Team Name:** |  |
| **Major Participants:** |  |  |
|  |  |
| **Additional Team Members:** |  |  |
|  |  |

|  |  |
| --- | --- |
| **Team Name:** |  |
| **Major Participants:** |  |  |
|  |  |
| **Additional Team Members:** |  |  |
|  |  |